Contents lists available at ScienceDirect

Pharmacology, Biochemistry and Behavior

journal homepage: www.elsevier.com/locate/pharmbiochembeh

Early ethanol exposure in mice increases laterality of rotational side preference in the free-swimming test

Cláudio C. Filgueiras ⁎, Anderson Ribeiro-Carvalho, Fernanda Nunes, Yael Abreu-Villaça, Alex C. Manhães

Laboratório de Neurofisiologia, Departamento de Ciências Fisiológicas, Instituto de Biologia Roberto Alcântara Gomes, Centro Biomédico, Universidade do Estado do Rio de Janeiro, Avenida Professor Manuel de Abreu 444, 5 andar, Vila Isabel, Rio de Janeiro, RJ, 20550-170, Brazil

article info abstract

Article history: Received 24 February 2008 Received in revised form 24 April 2009 Accepted 30 April 2009 Available online 6 May 2009

Keywords: Fetal alcohol spectrum disorder FASD Alcohol Laterality Rotational behavior Circling

In order to test the hypothesis that early postnatal ethanol exposure has long lasting behavioral effects that include changes to the normal pattern of cerebral asymmetries, the free swimming test (FST) was used to study the behavior of adult Swiss mice (males and females) exposed to ethanol during the third trimester equivalent of human gestation. Animals received ethanol (5 g/Kg ip, ETOH group) or saline (CONT group) on alternate days from postnatal day (P) 2 to P8, and were submitted to 1 session of open field (OF) and 3 sessions of FST from P75 to P81. No differences between ETOH and CONT groups were observed in OF. However, the FST revealed significant differences between ETOH and CONT mice during the first session. The percentage of animals that presented strong turning preferences (especially to the right side) was higher in the ETOH group when compared with the CONT group. These data give support to the hypothesis that early ethanol exposure affects cerebral asymmetries and suggests that the FST is a useful tool to investigate the long-lasting effects of ethanol exposure during development.

© 2009 Elsevier Inc. All rights reserved.

1. Introduction

Ethanol is known to interfere with nervous system development disrupting processes such as neuronal proliferation, migration, differentiation, neurochemical signaling, formation of appropriate connectivity, and programmed cell death ([Goodlett et al., 2005;](#page-6-0) [Heaton et al., 2003; Luo and Miller, 1998; Welch-Carre, 2005](#page-6-0)). Accordingly, maternal alcohol use during pregnancy produces a range of long-lasting neurological and behavioral outcomes in the offspring ([Spohr et al., 2007; Welch-Carre, 2005](#page-6-0)), commonly referred to as fetal alcohol spectrum disorder (FASD). Interestingly, even the establishment of cerebral asymmetries in humans seems to be affected by alcohol exposure during pregnancy, as demonstrated by in vivo imaging studies published in the last decade [\(Niccols, 2007;](#page-6-0) [Riley et al., 2004; Sowell et al., 2008](#page-6-0)). For instance, alcohol-exposed subjects present a significant reduction of the cortical surface gray matter asymmetry in the temporal lobe ([Riley et al., 2004](#page-6-0)), a smaller left hippocampus volume when compared to the right one ([Riikonen](#page-6-0) [et al., 1999](#page-6-0)), and a significant increase in the thickness of the right frontal cortex as compared to controls [\(Sowell et al., 2008](#page-6-0)). These abnormal patterns of lateralization may underlie some of the cognitive deficits observed in FASD [\(Niccols, 2007; Riley et al., 2004](#page-6-0)).

There is a large body of literature demonstrating that naïve rodents present cerebral asymmetries [\(Lent and Schmidt, 1993; Schwarting](#page-6-0)

[and Huston, 1996\)](#page-6-0) and life-long sequelae of prenatal ethanol exposure similar to those observed in humans [\(Becker et al., 1996; Cudd, 2005;](#page-5-0) [Hannigan, 1996; Kelly et al., 2000; Slawecki et al., 2004](#page-5-0)). However, there is a scant number of studies investigating the effects of early ethanol exposure on cerebral lateralization in rodents and, in all cases, the pups were exposed to ethanol during the prenatal period [\(Moreland et al., 2002; Zimmerberg et al., 1986; 1989; Zimmerberg](#page-6-0) [and Reuter, 1989; Zimmerberg and Riley, 1986; 1988\)](#page-6-0), which is considered a period equivalent to the first and second trimester of human gestation [\(Livy et al., 2003; Maier et al., 1999; Maier and West,](#page-6-0) [2001a,b](#page-6-0)). Moreover, most studies evaluated the effects of prenatal ethanol exposure on the offspring only during a short period after birth.

The rotational behavior (or circling) is a lateralized behavior that has been widely used as an experimental tool for the study of cerebral asymmetries both in humans ([Bracha et al., 1987; Mohr et al., 2004](#page-5-0)) and in mice [\(Filgueiras, 2004; 2005; 2006; Krahe et al., 2001;](#page-5-0) [Manhães et al., 2007; Schwarting and Huston, 1996](#page-5-0)). One method used to evaluate rotational behavior is the free-swimming test, a simple paradigm in which animals are forced to swim for a few minutes in a cylindrical container ([Filgueiras, 2004; 2005; 2006;](#page-5-0) [Krahe et al., 2001; Manhães et al., 2007; Schmidt et al., 1999](#page-5-0)). Besides its simplicity, the free-swimming test has a unique feature when compared to other circling paradigms: it is recorded in a more stressful environment where the animals spend most of their time swimming close to the wall of the container attempting to escape from a frightening test situation [\(Schmidt et al., 1999; West et al., 1986](#page-6-0)). This stressful testing situation may add an interesting characteristic to

[⁎] Corresponding author. Tel.: +55 21 2587 6295; fax: +55 21 2587 6129. E-mail address: ccfi[lg@pq.cnpq.br](mailto:ccfilg@pq.cnpq.br) (C.C. Filgueiras).

^{0091-3057/\$} – see front matter © 2009 Elsevier Inc. All rights reserved. doi[:10.1016/j.pbb.2009.04.023](http://dx.doi.org/10.1016/j.pbb.2009.04.023)

the free-swimming test for the behavioral analysis of the effects of early life ethanol exposure. It has been demonstrated that behavioral deficits which ameliorate with age in animals prenatally exposed to ethanol can re-emerge under challenging or stressful conditions [\(Gabriel et al., 2006; Hannigan et al., 1987](#page-6-0)).

Considering that the establishment of cerebral asymmetries in humans seems to be affected by alcohol exposure during pregnancy [\(Niccols, 2007; Riley et al., 2004; Riikonen et al., 1999; Sowell et al.,](#page-6-0) [2008](#page-6-0)), in the present work, we tested the hypothesis that early life ethanol exposure is associated with altered patterns of cerebral asymmetries at adulthood. To do this, we analyzed the rotational behavior of adult mice exposed to ethanol during the third trimester equivalent of human gestation, a critical period for ethanol neurotoxicity ([Coles 1994; Cudd, 2005; Ikonomidou et al., 2000; Olney,](#page-5-0) [2002; Slawecki et al., 2004](#page-5-0)), in the free-swimming test.

In addition to the free swimming test, mice were tested in the open field, a testing paradigm that has been widely used to assess behavioral consequences of early life ethanol exposure in rodents [\(Gilbertson and Barron, 2005; Melcer et al., 1994; Tran et al., 2000](#page-6-0)). Several studies using this test have failed to demonstrate behavior alterations after a considerable time has passed since early life ethanol exposure [\(Abel and Berman, 1994; Bond and Di Giusto, 1977; Dursun](#page-5-0) [et al., 2006; Tran et al., 2000](#page-5-0)). These negative results might be linked to the fact that the open field is not a very stressful testing environment, particularly when compared to FST. Accordingly, by using both the FST and the open field test, we further intend to verify the role of stress in revealing long-lasting behavioral effects of early ethanol exposure.

2. Methods

2.1. Animal treatment

This study was conducted under the State University of Rio de Janeiro institutional approval. All experiments were carried out in compliance with the Guide for the Care and Use of Laboratory Animals as adopted and promulgated by the National Institutes of Health. The subjects were Swiss mice that were bred and maintained in our laboratory on a 12:12 h light/dark cycle (lights on: 2:00, lights off: 14:00) at a constant temperature (22 $^{\circ}$ C). Original breeding stock was purchased from Fundação Oswaldo Cruz (Fiocruz, Rio de Janeiro, RJ, Brazil) and four generations have been bred in our laboratory. Access to food and water was unrestricted. From P2 to P8 ($P1 =$ birth day), litters either received ethanol (5 g/Kg IP, 25% in saline solution) or an equivalent volume of saline solution every other day. Treatment on alternate days was chosen since it mimics 'binge' drinking in humans, which is associated with severe cognitive and behavioral deficits [\(Maier and West, 2001a,b](#page-6-0)). In addition, ethanol treatment on alternate days induces marked neurological abnormalities in animal models of FASD [\(Medina et al., 2005; 2006; Medina and Ramoa,](#page-6-0) [2005](#page-6-0)). Moreover, this type of exposure requires less manipulation of the animals than daily treatments. In order to minimize the risk of injury to abdominal organs, a 28-gauge needle was carefully inserted to just penetrate the abdominal wall and reach the peritoneal cavity. Leakage from the injection site was minimized by slowly withdrawing the needle from the abdominal cavity. The great majority of the animals survived the saline and ethanol IP injections (90.9% of the mice treated with ethanol and 96.7% of the mice treated with saline). Although the survival rate was smaller in the ethanol group, this difference was slim and did not reach statistical significance (Fisher's Exact Test, $P = 0.28$). At P30, mice were weighed, separated by sex and allowed free access to food and water. To further verify if the weight gain was affected by IP injections, a separate group of Swiss mice (23 males and 24 females from 4 litters), in which the pups were not manipulated from birth to weaning, was weighted at P30. These animals were bred and maintained in our laboratory at the same conditions of the animals treated with saline or ethanol.

At P75 and P81, 60 mice (29 males and 31 females) from 6 litters treated with ethanol (ETOH group) and 59 mice (29 males and 30 females) from 6 litters treated with saline only (CONT group) were subjected to behavioral tests.

2.2. Open field

At P75, all animals were tested in the open field. The open field arena consisted of a polypropylene box (37.6 x 30.4 x 17 cm) in which the floor was divided into 16 same-sized rectangles (7.6 x 9.4 cm), 12 peripheral and 4 central. The experiments were conducted during the dark part of the daily cycle, 1–2 h after its onset. Each mouse was individually placed within the arena (in a standardized location, in one corner) and a piece of cardboard (90° L-shape) was used to fence the animal at the corner until the start of the test (about 10 s). The cardboard was then removed and the animal's behavior was recorded for 5 min with an overhead VHS video camera (height $= 60$ cm). At the end of each session, the animal was returned to its home cage and before another animal was placed in the open field arena, the floor and walls were washed with odorless liquid soap, rinsed thoroughly with tap water several times, and dried with a disposable paper towel. The location of the observer and the orientation of the open field apparatus did not vary from session to session.

Recorded images of the tests were used to analyze the behavior in open field. The observer was blind regarding the experimental treatments of individual animals. The ambulation was quantified on the basis of the number of rectangles crossed by the animal. Animals had to place all four legs on a given rectangle for a crossing to be counted. The following ambulation measures were evaluated: ambulation in the center (C) , ambulation in the periphery (Pe) , C/Pe ratio and total ambulation $(C + Pe)$. In addition, considering that direct comparisons between the activity in the center and in periphery can be influenced by the fact that the number of rectangles in periphery is greater than that in center, the number of rectangles crossed in the center and in the periphery is respectively divided by $4 (C/4)$ and 12 (Pe/12).

2.3. Free-swimming test

The free-swimming test was performed from P77 to P81. Each mouse was tested for 5 min on 3 different days with a 48 h test–retest interval (testing sessions began 2 to 3 h after the dark cycle began). The test procedure is described in detail elsewhere ([Filgueiras and](#page-5-0) [Manhães, 2004; 2005](#page-5-0)). Briefly, each animal was placed in the center of a plastic container (diameter = 21 cm, height = 23 cm) filled with water (depth = 16 cm) at about 25 °C. The animal's behavior was continuously recorded throughout the testing session with an overhead VHS video camera (height $= 60$ cm). The animal's starting location, the location of the observer, and the orientation of the apparatus did not vary from session to session.

Video images of the tests were used to analyze turning behavior. The observer was blind regarding the experimental treatments of individual animals. A turn, either leftward (counterclockwise swimming) or rightward (clockwise swimming), was defined by using a 30° unit. To facilitate the scoring of the 30° turns, a transparent overlay with 30° axes was matched with the image of the circular apparatus on the screen of the video monitor. For each animal, the counting of any successive number of 30° turns in a particular direction was interrupted when the animal shifted its initial direction, when it ceased to move, or when it floated passively. Since a 30° turn was counted only when the animal performed an active movement, the period of time in which the animal remained immobile or floated passively in the water was excluded from the analysis. Most animals swam around the center of the testing apparatus. Whenever the turns did not occur around the center of the apparatus, the animal's initial and final positions were recorded and the number of 30° turns was estimated with the aid of the transparent overlay with 30° axes. During sampling, we never observed a mouse dive or turn 360° around its own axis.

Turns to the right (R) or to the left (L), total angular activity ($R+L$) and turns to preferred side (PS) were calculated for each test. Lateralization was assessed by two measures: the percentage of turns to the right side $[\%R = 100 R/(R+L)]$ and the percentage of turns to the preferred side $[\frac{\%PS}{= 100 PS/(R+L)}]$. The direction of lateralization, indicating whether the animal preferred to rotate to the left or to the right, was assessed using the %R. For each testing session, a particular mouse was assigned to a side preference group if it turned to one side more often than to the other (right turner, $R > 50$ %; left turner, $%R < 50\%$). Consistent turners were defined as mice that did not change their preferred side of rotation throughout the three testing sessions. Therefore, consistency of laterality was defined independently of the magnitude of the differences between right and left preferences.

2.4. Blood Ethanol Concentration (BEC)

A separate group of mice was injected with ethanol or saline as described above. One, three, five and seven hours after the third injection (at P6), animals were decapitated and the blood collected from ethanol (1 and 3 h: $n = 3$; 5 and 7 h: $n = 2$) and saline (1, 3, 5 and 7 h: $n = 1$) exposed mice. Blood was centrifuged at 3000 rpm for 10 min and supernatant stored at −20 °C until assayed. BEC was assessed using an enzymatic kit (Alcohol Reagent Set, Pointe Scientific Inc., Michigan, USA) in accordance with the manufacturer's recommendations.

2.5. Statistical analysis

In order to verify whether measurements in open field and in freeswimming test have acceptable inter-observer accuracy, the video images of a random sample of 15 cases were analyzed by two independent-observers blind of the results obtained by each other. The analyses of inter-observer accuracy were performed using Pearson correlation coefficients ($P<0.05$, one-tailed). One-tailed tests were used because the hypothesis of reliability cannot be accepted if the correlation coefficient is negative.

Preliminary analyses did not show any significant differences between males and females. In order to minimize the influence of litter effects ([Wainwright, 1998\)](#page-6-0), for all ANOVAS, we considered the average of values from animals of the same litter instead of using individual animal values. The Treatment (ETOH X CONT) was used as between-subjects factor. Significance was assumed at the level of $P<$ 0.05 (two-tailed). The analyses of open field data (C, Pe, C/Pe, C + Pe, C/4 and Pe/12) were carried out by separate univariate ANOVAs. Repeated measures analyses of variance (rANOVA) were performed for free-swimming data ($R+L$, PS and %PS) and for body weight data. Session and age were considered the within-subjects factors. Protected t-tests were used for post-hoc analyses.

Regarding rANOVAs, for simplicity, we will report results based only on the averaged univariate F tests. The univariate approach is considered more powerful than the multivariate criteria [\(Huynh and](#page-6-0) [Feldt, 1976](#page-6-0)). However, each univariate test requires that the variances of all transformed variables for an effect to be equal and their covariances to be zero ([Huynh and Feldt, 1976](#page-6-0)). Therefore, the extent to which the covariance matrices deviate from sphericity was estimated by Mauchly's test. Whenever the sphericity assumption was violated, we used the Greenhouse–Geisser correction, which adjusts the degrees of freedom, in order to avoid Type I errors.

The comparisons between ETOH and CONT groups involving the percentage of consistent turners as well as the percentage of side (left or right) consistent turners were made by the use of Chi-square (2 2) tests. The analysis of test–retest reliability was performed using Pearson correlation coefficients ($P<$ 0.05, two-tailed). In order to evaluate whether significant differences existed between ETOH and CONT mice regarding the entire distributions of %PS and %R, the Kolmogorov–Smirnov two-sample test (K–S) was performed for each group pairs analyzed. Initially, the comparisons were conducted on the basis of scores derived from pooled data from the three testing sessions. Subsequently, analyses were conducted separately for each testing session.

3. Results

3.1. Offspring growth

Offspring weights during the injection period are shown in Table 1. The mean litter weights increased significantly from P2 to P8 $(F= 595.7; df = 3,30; P<0.001)$. From P2 to P8, no differences were observed between the ETOH and CONT groups regarding weight gain or absolute weight at any age. At P30, the mean litter weights of the ETOH group (24.0 \pm 0.6), CONT group (24.4 \pm 1.1) and non-manipulated group (24.0 \pm 2.0) did not differ (Univariate ANOVA, $F = 0.6$, $df = 2,10, P = 0.56$.

3.2. Blood ethanol concentration

The average BEC was highest at 1 h after injection (174.5 ± 3.5 mg/ dL), and decreased progressively (3 h: 161.8 ± 4.5 mg/dL; 5 h: $149.4 \pm$ 8.8 mg/dL; 7 h: 141.4 ± 9.5 mg/dL). These BECs are within the range that a human fetus would be exposed to after maternal ingestion of a moderate to heavy dose of ethanol [\(Eckardt et al., 1998](#page-5-0)).

3.3. Open field data

We found a high inter-observer agreement (ambulation in the center: $r = 0.991$, $df = 14$, $P < 0.001$; ambulation in the periphery: $r = 0.994$, $df = 14$, $P < 0.001$). Based on these data, we concluded that the ambulation scores present acceptable inter-observer accuracy.

No differences were observed between alcohol treated and control animals [\(Table 2](#page-3-0)). In general, most of the activity in the open field took place in the periphery. For both ETOH and CONT groups, the ambulation in the periphery was significantly greater than in the center. Similar results were observed when ambulation values were divided by the correspondent number of rectangles in the center and in the periphery.

3.4. Free-swimming data

We found a high inter-observer agreement (30° right turns: $r = 0.990$, P<0.001; 30° left turns: $r = 0.997$, P<0.001). Based on these data, we concluded that a 30° unit yields data with acceptable interobserver accuracy.

Regarding the consistency of laterality, the percentage of mice classified as side-consistent rotators in the ETOH group (68.3%) and in the CONT group (52.5%) was not significantly different from each other. The proportion of left-consistent turners and right-consistent turners did not differ between ETOH (left-consistent turners= 41.5%,

Table 1 Mean litter weights (g).

	P2	P4	P6	P ₈
CONT	$2.1 + 0.1$	$3.0 + 0.1$	$4.0 + 0.2$	$5.0 + 0.2$
ETOH	$2.1 + 0.1$	$2.9 + 0.1$	$3.9 + 0.1$	$4.9 + 0.1$

Values represent means + SEM.

Table 2

Number of rectangles crossed in the center (C) and in the periphery (Pe).

Values are means± SEM.

***P≤0.001 center vs. periphery comparison.

right-consistent turners= 58.5%) and CONT (left-consistent turn $ers = 48.4\%$, right-consistent turners $= 51.6\%$) mice.

Regarding total angular activity $(R+L)$ and turns to preferred side (PS), there were no differences between CONT and ETOH groups. We found that the amount of turning declines upon repeated testing sessions for both $R+L$ (Session effect: $F=92.0$; $df=2.20$; $P<0.001$) and PS (Session effect: $F=18.8$; $df=2.20$; P<0.001). Particularly, the turning activity on the first session was higher than on the second ($R+L$: $t=8.6$, $df=11$, P<0.001; PS: $t=3.3$, $df=11$; P<0.01) and the turning activity on the second session was higher than on the third session ($R+$ L: $t=6.2$, $df=11$, $P<0.001$; PS: $t=4.1$, $df=11$; $P<0.01$).

Regarding the percentage of turns to the preferred side (%PS), there was a significant increase in the magnitude of laterality from the first (mean = 70.5, S.E.M. = 1.6) to the second (mean = 74.5, S.E.M. = 2.1) session (Session effect: $F = 4.1$; $df = 2.20$; $P < 0.05$). No difference was observed between the second and third (mean = 74.8, S.E.M. = 2.1) sessions. Of note, there were marked differences between CONT and ETOH groups (Treatment effect: $F = 5.3$; $df = 1,10$; P<0.05). The average percentage of turns to the preferred side of mice in the ETOH group (mean $= 76.4$, S.E.M. $= 2.3$) was higher than that of the CONT group (mean $= 69.9$, S.E.M. $= 1.6$). Interestingly, the difference between CONT and ETOH groups was more pronounced for the first session (Fig. 1).

The Kolmogorov–Smirnov test comparing the distributions of %PS from CONT and ETOH groups indicated a significant difference only in the first session (K–S: $Z = 2.2$, P<0.001). The ETOH mice turned preferentially more to one side (right or left) than CONT mice (Fig. 2). In accordance, 65.0% of the ETOH mice $(n=39)$ presented strong turning preferences (% $PS > 70\%$) while this percentage was only 32.2% $(n= 19)$ in the CONT group. These frequencies were significantly different from each other (χ^2 = 12.8; df = 1, P<0.001). Regarding the distribution of percentage of turns to the right side

Fig. 1. Percentage of 30° turns to preferred side of adult mice exposed to ethanol (ETOH) or saline (CONT) during the first postnatal week upon repeated testing sessions. Note that the ETOH group is more lateralized than CONT group in the first session. The values are means $(+ 5.E.).$ T-test: ** $P < 0.01$.

Fig. 2. Frequency distributions of percentage of 30° turns to preferred side (%PS) in the first session among mice exposed to ethanol (ETOH) or saline (CONT) during the first postnatal week. The lines in the histograms represent the Gaussian fitting curves. The pie-graphs show the percentage of animals with strong (%PS>70) and weak (%PS \leq 70) turning preferences. Regarding %PS, both histograms and pie-graphs show that the ETOH mice are more lateralized than CONT mice.

(%R), a significant difference between CONT and ETOH groups was also observed only in the first session ($K-S: Z = 1.5, P = 0.02$). In the ETOH group, 35% of the animals ($n= 21$) presented weak directional turning biases (%R between 30% and 70%) while this percentage was 68% $(n= 40)$ in the CONT group [\(Fig. 3](#page-4-0)). [Fig. 3](#page-4-0) also shows that, among animals with strong turning activity to one side (right or left), the percentage of animals with strong right-turning activity ($\%R>70\%$) in the ETOH group (42%, $n=25$) was higher than that observed in the CONT group (18%, $n = 11$). These frequencies were significantly different from each other (χ^2 = 7.5; df = 1, P<0.01). The frequency of animals with strong turning preferences to the left $(XR < 30\%)$ did not differ between ETOH (23%, $n=14$) and CONT (14%, $n=8$) groups $(\chi^2 = 1.9; df = 1, P > 0.15).$

Additionally, we investigated the test–retest reliability of the continuous variables. The Pearson correlation coefficients were always positive and significantly different from zero $(P<0.001)$. There were no differences between CONT and ETOH mice.

4. Discussion

In the present study, mice were exposed to ethanol from P2 to P8 every other day, during the period that is equivalent to the third

Fig. 3. Frequency distributions of the percentage of 30° turns to the right side (%R) in the first session among mice of CONT (A) and ETOH (B) groups. The lines in the histograms represent the Gaussian fitting curves. The pie-graphs show the percentage of animals with strong (%R>70% for right-turner and %R<30 for left-turner) and weak (%R between 30% and 70%) directional turning preferences. Both histograms and pie-graphs show that the number of animals with strong turning preferences to the right in the ETOH group are greater than that of the CONT group.

trimester of human gestation. At adulthood, long after the period of alcohol exposure, animals were subjected to the open field and the free-swimming test. Briefly, no differences were found between ETOH and CONT groups in the open field. In contrast, marked differences between ethanol-exposed and control mice were observed in the first session of the free-swimming test. In particular, the ethanol-exposed mice were more lateralized (preferentially to the right side) when compared to control animals.

Several techniques have been used to administer ethanol in neonatal rodents, such as: the artificial rearing (pup in the cup model), the oral intubation and the alcohol inhalation procedures. While reliable results have been obtained with each one of these approaches, each one has its own disadvantages and limitations. In all cases there are factors other than the ethanol exposure which could per se affect the neurobehavioral results. For instance, in the artificial rearing model [\(Diaz and Samson, 1980\)](#page-5-0), gastric tubes are surgically implanted into neonates and the pups are subjected to isolation (from the mother and littermates), there is the obvious elimination of normal suckling behavior and pups' body temperature is artificially controlled. In the oral intubation model ([Kelly and Lawrence, 2008](#page-6-0)), a flexible gavage canula is inserted through the oral cavity into the stomach and pups are submitted to frequent handling and maternal separation. In the inhalation model [\(Pal and Alkana, 1997\)](#page-6-0), the ethanol vapor can irritate the respiratory tract system and induce stress in the mother and pups.

Considering that in the present study animals were exposed to ethanol via IP injections from P2 to P8, an invasive route that is not commonly used in third trimester FASD models, we were careful in verifying the safeness and feasibility of this route of administration. Here, we demonstrated that: 1) the great majority of the animals survived our protocol of four IP injections and the mortality rates did not differ between ETOH and CONT mice; 2) there were no significant differences between the animals injected with ETOH or saline solution regarding weight gain during the treatment period or at P30 when the animals were weaned; and 3) the mean body weight of litters injected with ethanol or saline did not differ from the mean body weight of litters in which the pups were not manipulated from birth to weaning.

Regarding our body weight results, the fact that ETOH did not affect weight gain during the treatment period (from P2 to P8) or at P30, when the animals were weaned, is in accordance with other studies carried out in rodents ([O'Leary-Moore et al., 2006; Pal and](#page-6-0) [Alkana, 1997; Karaçay et al., 2008](#page-6-0)). [Pal and Alkana \(1997\)](#page-6-0) demonstrated, in Swiss–Webster mice, that daily exposure to ethanol vapor (BEC ranging from 160 to 290 mg/dL) from P2 to P14 did not result in significant body weight differences during the exposure period. Sprague–Dawley rats exposed to ethanol via intragastric intubations from P4 to P9 (ETOH dosing = 5 g/Kg, BEC = 320 ± 7 mg/dL) also did not present significant body weight differences at P21 when compared to non-intubated animals [\(O'Leary-Moore et al., 2006\)](#page-6-0). By P25, there were no significant differences in body weights between C57BL/6 mice submitted either to IP injections of ethanol (4.4 g/kg⁄d, BEC around 310 mg/dL) or to saline during the third trimester equivalent period [\(Karaçay et al., 2008\)](#page-6-0). It is important to note that in all these studies the maximum BEC was much higher than ours (our highest value was 174.5 ± 3.5 mg/dL).

Our data showing no differences between alcohol-treated and control animals in open field test are in accordance with several other studies that failed to demonstrate hyperactivity after a considerable time has passed since early-life ethanol exposure ([Abel and Berman,](#page-5-0) [1994; Bond and Di Giusto, 1977; Driscoll et al., 1990; Dursun et al.,](#page-5-0) [2006; Spohr et al., 2007; Tran et al., 2000\)](#page-5-0). Longitudinal and crosssectional studies have demonstrated that alcohol-related hyperactivity, at least as measured in the open-field, appears to be age-related. Ethanol exposed animals tested as juveniles typically are more active than controls [\(Melcer et al., 1994; Gilbertson and Barron, 2005; Tran](#page-6-0) [et al., 2000\)](#page-6-0), while mature subjects are not different [\(Abel and](#page-5-0) [Berman, 1994; Bond and Di Giusto, 1977; Driscoll et al., 1990; Dursun](#page-5-0) [et al., 2006; Spohr et al., 2007; Tran et al., 2000](#page-5-0)). Accordingly, rats exposed to ethanol during gestation displayed significantly greater locomotor activity in an open-field test at 28 and at 56 days of age, but not at 112 days of age [\(Bond and Di Giusto, 1977](#page-5-0)). In addition, mice exposed to ethanol on a single postnatal day (P7) presented spatial learning and memory impairments that were very severe at P30, less severe if testing was first performed at P75, and minimal in later adulthood ([Wozniak et al., 2004](#page-6-0)). Therefore, in the present study, the absence of alcohol-induced hyperactivity during adulthood may be related to the fact that some effects of early alcohol exposure are significantly attenuated during subsequent development.

As indicated in previous studies [\(Filgueiras and Manhães, 2004;](#page-5-0) [2005; Manhães et al., 2007; Schmidt et al., 1999\)](#page-5-0), the finding that the animals, irrespective of postnatal treatment, presented the highest total turning activity $(R+L)$ in the first session of the free-swimming test is due to more pronounced escape-related behaviors. In fact, when first placed in the novel and stressful situation of the aquatic arena, the animal's behavior is typically characterized by vigorous swimming accompanied by frantic clawing at the side of the testing chamber ([Krahe et al., 2001; Schmidt et al., 1999](#page-6-0)). The enhanced escape response randomizes the direction of turning, resulting in an

increased number of turns to the non-preferred side (PS), which, in turn, affects %PS.

Interestingly, a marked difference between ETOH and CONT groups regarding the %PS (higher in the former) was observed only in the first session of the free-swimming test. This result corroborates others showing that, under stressful or challenging situations, animals exposed to ethanol early in life present a wide range of behavioral alterations [\(Gabriel et al., 2006; Hannigan et al., 1987\)](#page-6-0). Behavioral deficits induced by early ethanol exposure which diminish with age can reappear under stressful or challenging situations [\(Gabriel et al.,](#page-6-0) [2006; Hannigan et al., 1987\)](#page-6-0). There are studies showing that early ethanol exposure results in long-term effects on the organism's ability to respond and adapt to stressors, as measured by the hyperresponsiveness of the hypothalamic–pituitary–adrenal axis ([Haley et al.,](#page-6-0) [2006; Hofmann et al., 2007; Park et al., 2004](#page-6-0)) and altered emotional responses ([Kodituwakku, 2007](#page-6-0)). Therefore, the differences between ETOH and CONT groups might be associated with a maladaptive response to stress. In fact, in the far less aversive paradigm of the open field test, there were no differences between ETOH and CONT groups, a finding that is consistent with previous studies (Abel and Berman, 1994; Bond and Di Giusto, 1977; Dursun et al., 2006; Tran et al., 2000). Similarly, circling behavior measured in dry land is not known to be affected by prenatal exposure to alcohol when tests are performed after a long alcohol-free period ([Zimmerberg et al., 1986\)](#page-6-0).

The absence of differences between ETOH and CONT groups regarding test–retest reliability and consistency of laterality in the free-swimming test is related to the fact that with the repetition of the sessions there is a habituation process, which results in a reduction in escape attempts from the stressful testing situation (Denenberg et al., 1990; Filgueiras and Manhães, 2004; 2005; Krahe et al., 2001; 2002; Manhães et al., 2007; Schmidt et al., 1999; West et al., 1986). It has been suggested that emotional responses related to fear are generally assumed to be highest during the initial exposure to a testing apparatus and then decrease as the animal gradually habituates to this environment [\(Leppänen et al., 2006\)](#page-6-0). Our turning activity data corroborate this interpretation since both the overall turning activity $(R+L)$ and the number of turns to preferred side (PS) diminish from session to session in both groups.

Our data also show that, in the first session of the free-swimming test, the percentage of animals that presented strong turning preferences to the right side was higher in the ETOH group. This result is in accordance with human studies showing that alcohol exposure during pregnancy affects the populational pattern of cerebral asymmetries ([Niccols, 2007; Riikonen et al., 1999; Riley](#page-6-0) [et al., 2004; Sowell et al., 2008](#page-6-0)). Although in the present study we did not measure biochemical and morphological asymmetries, it has been widely accepted that turning biases reflect dopaminergic asymmetries in the nigrostriatal system (Carlson and Glick, 1996; Glick and Shapiro, 1985; Nielsen et al., 1997; Schwarting and Huston, 1996). Of note, there are several studies showing that both striatal and rotational asymmetries are modulated by the prefrontal cortex (Carlson and Glick, 1996; Glick and Greenstein, 1973; Gonzalez et al., 2006; Nielsen et al., 1997). Interestingly, both the prefrontal cortex and the basal ganglia are significantly affected by early ethanol exposure (Barr et al., 2005; Cortese et al., 2006; Fryer et al., 2007; Kumral et al., 2005; Niccols, 2007). Therefore, our data suggest that, in mice, the ethanol exposure during the third trimester equivalent period of human gestation induces an alteration in the populational pattern of asymmetries favoring the right hemisphere. Accordingly, it was recently demonstrated that subjects with heavy prenatal alcohol exposure histories present a significant increase in the thickness of the right lateral frontal cortex as compared to controls ([Sowell et al.,](#page-6-0) [2008\)](#page-6-0). Some studies have also described a more pronounced reduction of the left ventral portions of the frontal lobes ([Niccols,](#page-6-0) [2007; Sowell et al., 2002\)](#page-6-0), as well as of the left caudate nucleus in individuals exposed to alcohol during gestation (Cortese et al., 2006). Furthermore, in individuals with Fetal Alcohol Syndrome, an increase in blood supply to the right frontal region has been demonstrated [\(Riikonen et al., 1999](#page-6-0)). Finally, children prenatally exposed to alcohol present an elevated N-acetyl-aspartate to creatine (NAA/Cr) metabolite ratio, which has been associated with neural dysfunction in left caudate nucleus of FASD subjects when compared to the control group (Cortese et al., 2006).

5. Conclusion

Our data show that the rotational behavior of adult Swiss mice measured in the free-swimming test is affected by ethanol exposure during the first postnatal week. The finding that differences between ETOH and CONT groups were more pronounced in the first session gives support to the suggestion that stressful or challenging situations can bring out defective behaviors in early ethanol-exposed animals. The fact that the percentage of animals that presented strong turning preferences to the right side in the ETOH group was higher than that in the CONT group lends further support to the hypothesis that early ethanol exposure affects cerebral asymmetries. Furthermore, our results indicate that the free-swimming test is a useful tool to investigate the long-lasting consequences of early alcohol exposure.

Acknowledgments

This work was supported by grants from: Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro (FAPERJ-BRAZIL), Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq-BRAZIL) and SR2-UERJ. The authors are thankful to Thomas E. Krahe, Alexandre E. Medina and Emily K. Dilger for helpful comments and to Edson Oliveira for animal care.

References

- Abel EL, Berman RF. Long-term behavioral effects of prenatal alcohol exposure in rats. Neurotoxicol Teratol 1994;16:467–70.
- Barr AM, Hofmann CE, Phillips AG, Weinberg J, Honer WG. Prenatal ethanol exposure in rats decreases levels of complexin proteins in the frontal cortex. Alcohol Clin Exp Res 2005;29:1915–20.
- Becker HC, Diaz-Granados JL, Randall CL. Teratogenic actions of ethanol in the mouse: a minireview. Pharmacol Biochem Behav 1996;55:501–13.
- Bond NW, Di Giusto EL. Prenatal alcohol consumption and open-field behaviour in rats: effects of age at time of testing. Psychopharmacology (Berl) 1977;52:311–2.
- Bracha HS, Seitz DJ, Otemaa J, Glick SD. Rotational movement (circling) in normal humans: sex difference and relationship to hand, foot and eye preference. Brain Res 1987;411:231–5.
- Carlson JN, Glick SD. Circling behavior in rodents. In: Sandberg PR, editor. Motor activity and movement disorders: research issues and applications. New Jersey: Humana Press; 1996. p. 269–300.
- Coles C. Critical period for prenatal alcohol exposure: evidence from animal and human studies. Alcohol Health Res World 1994;18:22–9.
- Cortese BM, Moore GJ, Bailey BA, Jacobson SW, Delaney-Black V, Hannigan JH. Magnetic resonance and spectroscopic imaging in prenatal alcohol-exposed children: preliminary findings in the caudate nucleus. Neurotoxicol Teratol 2006;28:597–606.
- Cudd TA. Animal model systems for the study of alcohol teratology. Exp Biol Med 2005;230:389–93.
- Denenberg VH, Talgo NW, Waters NS, Kenner GH. A computer-aided procedure for measuring swim rotation. Physiol Behav 1990;47:1023–5.
- Diaz J, Samson HH. Impaired brain growth in neonatal rats exposed to ethanol. Science 1980;208:751–3.
- Driscoll CD, Streissguth AP, Riley EP. Prenatal alcohol exposure: comparability of effects in humans and animal models. Neurotoxicol Teratol 1990;12:231–7.
- Dursun I, Jakubowska-Dogru E, Uzbay T. Effects of prenatal exposure to alcohol on activity, anxiety, motor coordination, and memory in young adult Wistar rats. Pharmacol Biochem Behav 2006;85:345–55.
- Eckardt MJ, File SE, Gessa GL, Grant KA, Guerri C, Hoffman PL, et al. Effects of moderate alcohol consumption on the central nervous system. Alcohol Clin Exp Res 1998;22:998-1040.
- Filgueiras CC, Abreu-Villaça Y, Krahe TE, Manhães AC. Unilateral hemispherectomy at adulthood asymmetrically affects immobile behavior of male Swiss mice. Behav Brain Res 2006;172:33–8.
- Filgueiras CC, Manhães AC. Effects of callosal agenesis on rotational side preference of BALB/cCF mice in the free swimming test. Behav Brain Res 2004;155:13–25.
- Filgueiras CC, Manhães AC. Increased lateralization in rotational side preference in male mice rendered acallosal by prenatal gamma irradiation. Behav Brain Res 2005;162:289–98.

Fryer SL, Tapert SF, Mattson SN, Paulus MP, Spadoni AD, Riley EP. Prenatal alcohol exposure affects frontal–striatal BOLD response during inhibitory control. Alcohol Clin Exp Res 2007;31:1415–24.

Gabriel KI, Yu CL, Osborn JA, Weinberg J. Prenatal ethanol exposure alters sensitivity to the effects of corticotropin-releasing factor (CRF) on behavior in the elevated plusmaze. Psychoneuroendocrinology 2006;31:1046–56.

Gilbertson RJ, Barron S. Neonatal ethanol and nicotine exposure causes locomotor activity changes in preweanling animals. Pharmacol Biochem Behav 2005;81:54–64.

Glick SD, Greenstein S. Possible modulating influence of frontal cortex on nigro-striatal function. Br J Pharmacol 1973;49:316–21.

- Glick SD, Shapiro RM. Functional and neurochemical mechanisms of cerebral lateralization in rats. In: Glick SD, editor. Cerebral lateralization in nonhuman species. New York: Academic Press; 1985. p. 157–83.
- Gonzalez D, Miyamoto O, Touge T, Sumitani K, Kuriyama S, Itano T. Unilateral ibotenic acid lesions of the prefrontal cortex reduce rotational behavior in 6-hydroxydopamine-lesioned rats. Acta Med Okayama 2006;60:319–24.
- Goodlett CR, Horn KH, Zhou FC. Alcohol teratogenesis: mechanisms of damage and strategies for intervention. Exp Biol Med 2005;230:394–406.
- Haley DW, Handmaker NS, Lowe J. Infant stress reactivity and prenatal alcohol exposure. Alcohol Clin Exp Res 2006;30:2055–64.
- Hannigan JH. What research with animals is telling us about alcohol-related neurodevelopmental disorder. Pharmacol Biochem Behav 1996;55:489–99.
- Hannigan JH, Blanchard BA, Riley EP. Altered grooming responses to stress in rats exposed prenatally to ethanol. Behav Neural Biol 1987;47:173–85.
- Heaton MB, Paiva M, Madorsky I, Shaw G. Ethanol effects on neonatal rat cortex: comparative analyses of neurotrophic factors, apoptosis-related proteins, and oxidative processes during vulnerable and resistant periods. Dev Brain Res 2003;145:249–62.
- Hofmann CE, Ellis L, Yu WK, Weinberg J. Hypothalamic–pituitary–adrenal responses to 5-HT1A and 5-HT2A/C agonists are differentially altered in female and male rats prenatally exposed to ethanol. Alcohol Clin Exp Res 2007;31:345–55.

Huynh H, Feldt LS. Estimation of BOX correction for degrees of freedom from sample data in randomized block and split-plot designs. J Educ Stat 1976;1:69–82.

- Ikonomidou C, Bittigau P, Ishimaru MJ, Wozniak DF, Koch C, Genz K, et al. Ethanolinduced apoptotic neurodegeneration and fetal alcohol syndrome. Science 2000;287:1056–60.
- Karaçay B, Li S, Bonthius DJ. Maturation-dependent alcohol resistance in the developing mouse: cerebellar neuronal loss and gene expression during alcohol-vulnerable and -resistant periods. Alcohol Clin Exp Res 2008;32:1439–50.
- Kelly SJ, Day N, Streissguth AP. Effects of prenatal alcohol exposure on social behavior in humans and others species. Neurotoxicol Teratol 2000;22:143–9.
- Kelly SJ, Lawrence CR. Intragastric intubation of alcohol during the perinatal period. Methods Mol Biol 2008;447:101–10.
- Kodituwakku PW. Defining the behavioral phenotype in children with fetal alcohol spectrum disorders: a review. Neurosci Biobehav Rev 2007;31:192–201.
- Krahe TE, Filgueiras CC, Caparelli-Dáquer EM, Schmidt SL. Contralateral rotatory bias in the free-swimming test after unilateral hemispherectomy in adult Swiss mice. Int J Neurosci 2001;108:21–30.
- Krahe TE, Filgueiras CC, Schmidt SL. Effects of rotational side preferences on immobile behavior of normal mice in the forced swimming test. Prog Neur-opsychopharmacol Biol Psychiatry 2002;26:169–76.
- Kumral A, Tugyan K, Gonenc S, Genc K, Genc S, Sonmez U, et al. Protective effects of erythropoietin against ethanol-induced apoptotic neurodegenaration and oxidative stress in the developing C57BL/6 mouse brain. Dev Brain Res 2005;160:146–56.

Lent R, Schmidt SL. The ontogenesis of the forebrain commissures and the determination of brain asymmetries. Prog Neurobiol 1993;40:249–76.

- Leppänen PK, Ravaja N, Ewalds-Kvist SB. Twenty-three generations of mice bidirectionally selected for open-field thigmotaxis: selection response and repeated exposure to the open field. Behav Processes 2006;72:23–31.
- Livy DJ, Miller EK, Maier SE, West JR. Fetal alcohol exposure and temporal vulnerability: effects of binge-like alcohol exposure on the developing rat hippocampus. Neurotoxicol Teratol 2003;25:447–58.
- Luo J, Miller MW. Growth factor-mediated neural proliferation: target of ethanol toxicity. Brain Res Rev 1998;27:157–67.
- Maier SE, Miller JA, Blackwell JM, West JR. Fetal alcohol exposure and temporal vulnerability: regional differences in cell loss as a function of the timing of binge-like alcohol exposure during brain development. Alcohol Clin Exp Res 1999;23:726–34.
- Maier SE, West JR. Drinking patterns and alcohol-related birth defects. Alcohol Res Health 2001a;25:168–74.
- Maier SE,West JR. Regional differences in cell loss associated with binge-like alcohol exposure during the first two trimesters equivalent in the rat. Alcohol 2001b;23:49–57.
- Manhães AC, Abreu-Villaça Y, Schmidt SL, Filgueiras CC. Neonatal transection of the corpus callosum affects rotational side preference in adult Swiss mice. Neurosci Lett 2007;415:159–63.
- Medina AE, Krahe TE, Ramoa AS. Early alcohol exposure induces persistent alteration of cortical columnar organization and reduced orientation selectivity in the visual cortex. J Neurophysiol 2005;93:1317–25.
- Medina AE, Krahe TE, Ramoa AS. Restoration of neuronal plasticity by a phosphodiesterase type 1 inhibitor in a model of fetal alcohol exposure. J Neurosci 2006;26:1057–60.
- Medina AE, Ramoa AS. Early alcohol exposure impairs ocular dominance plasticity throughout the critical period. Dev Brain Res 2005;157:107–11.
- Melcer T, Gonzalez D, Barron S, Riley EP. Hyperactivity in preweanling rats following postnatal alcohol exposure. Alcohol 1994;11:41–5.
- Mohr C, Brugger P, Bracha HS, Landis T, Viaud-Delmon I. Human side preferences in three different whole-body movement tasks. Behav Brain Res 2004;151:321–6.
- Moreland N, La Grange L, Montoya R. Impact of in utero exposure to EtOH on corpus callosum development and paw preference in rats: protective effects of silymarin. BMC Complement Altern Med 2002;2:10.
- Niccols A. Fetal alcohol syndrome and the developing socio-emotional brain. Brain Cogn 2007;65:135–42.
- Nielsen DM, Visker KE, Cunningham MJ, Keller Jr RW, Glick SD, Carlson JN. Paw preference, rotation, and dopamine function in Collins HI and LO mouse strains. Physiol Behav 1997;61:525–35.
- O'Leary-Moore SK, McMechan AP, Mathison SN, Berman RF, Hannigan JH. Reversal learning after prenatal or early postnatal alcohol exposure in juvenile and adult rats. Alcohol 2006;38:99-110.
- Olney JW. New insights and new issues in developmental neurotoxicology. Neurotoxicology 2002;23:659–68.
- Pal N, Alkana RL. Use of inhalation to study the effect of ethanol and ethanol dependence on neonatal mouse development without maternal separation: a preliminary study. Life Sci 1997;61:1269–81.
- Park E, Dumas R, Schuller-Levis G, Rabe A. Exposure to alcohol on E9 raises poststress corticosterone in mature but not old mice. Neurosci Lett 2004;368:345–8.
- Riikonen R, Salonen I, Partanen K, Verho S. Brain perfusion SPECT and MRI in foetal alcohol syndrome. Dev Med Child Neurol 1999;41:652–9.
- Riley EP, Mcgee CL, Sowell ER. Teratogenic Effects of alcohol: a decade of brain imaging. Am J Med Genet Part C (Semin Med Genet) 2004;127C:35–41.
- Schmidt SL, Filgueiras CC, Krahe TE. Effects of sex and laterality on the rotatory swimming behavior of normal mice. Physiol Behav 1999;65:607–16.
- Schwarting RK, Huston JP. Unilateral 6-hydroxydopamine lesions of meso-striatal dopamine neurons and their physiological sequelae. Prog Neurobiol 1996;49: 215–66.
- Slawecki CJ, Thomas JD, Riley EP, Ehlers CL. Neurophysiologic consequences of neonatal ethanol exposure in the rat. Alcohol 2004;34:187–96.
- Sowell ER, Mattson SN, Kan E, Thompson PM, Riley EP, Toga AW. Abnormal cortical thickness and brain-behavior correlation patterns in individuals with heavy prenatal alcohol exposure. Cereb Cortex 2008;18:136–44.
- Sowell ER, Thompson PM, Mattson SN, Tessner KD, Jernigan TL, Riley EP, et al. Regional brain shape abnormalities persist into adolescence after heavy prenatal alcohol exposure. Cereb Cortex 2002;12:856–65.
- Spohr HL, Willms J, Steinhausen HC. Fetal alcohol spectrum disorders in young adulthood. J Pediatr 2007;150:175–9.
- Tran TD, Cronise K, Marino MD, Jenkins WJ, Kelly SJ. Critical periods for the effects of alcohol exposure on brain weight, body weight, activity and investigation. Behav Brain Res 2000;116:99-110.
- Wainwright PE. Issues of design and analysis relating to the use of multiparous species in developmental nutritional studies. J Nutr 1998;128:661–3.
- Welch-Carre E. The neurodevelopmental consequences of prenatal alcohol exposure. Adv Neonatal Care 2005;5:217–29.
- West CA, Reid KH, Schurr A. A simple rapid swim test to determine spatial preference in the rat. Physiol Behav 1986;36:393–5.
- Wozniak DF, Hartman RE, Boyle MP, Vogt SK, Brooks AR, Tenkova T, et al. Apoptotic neurodegeneration induced by ethanol in neonatal mice is associated with profound learning/memory deficits in juveniles followed by progressive functional recovery in adults. Neurobiol Dis 2004;17:403–14.
- Zimmerberg B, Mattson S, Riley EP. Impaired alternation test performance in adult rats following prenatal alcohol exposure. Pharmacol Biochem Behav 1989;32: 293–9.
- Zimmerberg B, Reuter JM. Sexually dimorphic behavioral and brain asymmetries in neonatal rats: effects of prenatal alcohol exposure. Dev Brain Res 1989;46: 281–90.
- Zimmerberg B, Riley EP. Side preference behavior in rats exposed to alcohol prenatally. Neurobehav Toxicol Teratol 1986;8:631–5.
- Zimmerberg B, Riley EP. Prenatal alcohol exposure alters behavioral laterality of adult offspring in rats. Alcohol Clin Exp Res 1988;12:259–63.
- Zimmerberg B, Riley EP, Glick SD. Differential effects of prenatal exposure to alcohol on activity and circling behavior in rats. Pharmacol Biochem Behav 1986;25:1021–5.